Atty. Dkt. No. 034536-0188

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Huiping GUAN et al.
Title:	3-(4-AMIDOPYRROL-2-YLMETHLIDENE)-2-INDOLINONE DERIVATIVES AS PROTEIN KINASE INHIBITORS
Prior Appl. No.:	10/076,140
Prior Appl. Filing Date:	02/15/2002
Examiner:	Unassigned
Art Unit:	Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 10/076,140, filed 02/15/2002. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 9/8/03 By

FOLEY & LARDNER
Customer Number: 22428
22428
PATENT TRADEMARK OFFICE
Telephone: (202) 672-5475
Facsimile: (202) 672-5399

Beth A. Burrous
Attorney for Applicant
Registration No. 35,087

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Sheet 1 of 30

Complete if Known	
Prior Application Number	10/076,140
Prior Appl. Filing Date	February 15, 2002
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Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	034536-0188

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document Number	U.S. Patent Document Kind Code[2] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	B1	Re. 35,096	E	Taniguchi et al.	11-21-1995	
	B2	Re. 36,256	E	Spada et al.	07-20-1999	
	B3	2,622,980		Copeland	12-23-1952	
	B4	2,872,372		Hull	02-03-1959	
	B5	2,968,557		Burgandt et al.	01-17-1961	
	B6	3,140,180		Fritz	07-07-1964	
	B7	3,308,134		Plostneiks	03-07-1967	
	B8	3,551,571		Pachter et al.	12-29-1970	
	B9	3,564,016		Schoen et al.	02-16-1971	
	B10	3,715,364		Hoff	02-06-1973	
	B11	3,880,871		Haugwitz et al.	04-29-1975	
	B12	3,922,163		Church et al.	11-25-1975	
	B13	4,002,643		Carson	01-11-1977	
	B14	4,002,749		Rovnyak	01-11-1977	
	B15	4,053,613		Rovnyak et al.	10-11-1977	
	B16	4,070,366		Gregorovich et al.	01-24-1978	
	B17	4,259,345		Cross et al.	03-31-1981	
	B18	4,343,923		Lenox et al.	08-10-1982	

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	B19	4,376,110		David et al.	03-08-1983	
	B20	4,436,892		Zondler et al.	03-13-1984	
	B21	4,489,089		Wright, Jr. et al.	12-18-1984	
	B22	4,493,842		Furazawa et al.	01-15-1985	
	B23	4,560,700		Schnettler et al.	12-24-1985	
	B24	4,628,105		Schmid et al.	12-09-1986	
	B25	4,642,309		Michel et al.	02-10-1987	
	B26	4,678,798		Rentzea et al.	07-07-1987	
	B27	4,826,847		Michel et al.	05-02-1989	
	B28	4,853,403		Shiraishi et al.	08-01-1989	
	B29	4,853,404		Takamura et al.	08-01-1989	
	B30	4,868,304		Larock	09-19-1989	
	B31	4,924,000		Rentzea et al.	05-08-1990	
	B32	4,966,849		Vallee et al.	10-30-1990	
	B33	4,971,996		Shiraishi et al.	11-20-1990	
	B34	4,987,146		Rohde et al.	01-22-1991	
	B35	5,043,348		Zoller et al.	08-27-1991	
	B36	5,043,454		Wriede et al.	08-27-1991	

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Sheet 3 of 30

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	B37	5,047,554		Ehrgott et al.	09-10-1991	
	B38	5,051,417		Nadler et al.	09-24-1991	
	B39	5,057,538		Shiraishi et al.	10-15-1991	
	B40	5,082,856	A	Taniguchi et al.	01-21-1992	
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	B42	5,124,347	A	Connor et al.	06-23-1992	
	B43	5,145,983	A	West	09-08-1992	
	B44	5,153,217	A	Taniguchi et al.	10-06-1992	
	B45	5,196,446	A	Levitzki et al.	03-23-1993	
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	B47	5,206,261	A	Kawaguchi et al.	04-27-1993	
	B48	5,217,999	A	Levitzki et al.	06-08-1993	
	B49	5,258,357	A	Muenster et al.	11-02-1993	
	B50	5,278,184	A	Artico et al.	01-11-1994	
	B51	5,290,947	A	Zoller et al.	03-01-1994	
	B52	5,302,606	A	Spada et al.	04-12-1994	
	B53	5,322,950	A	Sircar et al.	06-21-1994	
	B54	5,330,992	A	Eissenstat et al.	07-19-1994	

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	B55	5,332,736	A	Carmosin et al.	07-26-1994	
	B56	5,374,652	A	Buzzetti et al.	12-20-1994	
	B57	5,382,593	A	Le Baut et al.	01-17-1995	
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	B62	5,463,052	A	Haga et al.	10-31-1995	
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	B66	5,786,488	A	Tang et al.	07-28-1998	
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	B68	5,834,504	A	Tang et al.	11-10-1998	
	B69	5,849,710	A	Battistini et al.	12-15-1998	
	B70	5,880,141	A	Tang et al.	03-09-1999	
	B71	5,883,113	A	Tang et al.	03-16-1999	
	B72	5,883,116	A	Tang et al.	03-16-1999	

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	B73	5,886,020	A	Tang et al.	03-23-1999	
	B74	6,130,239	A	Chen et al.	10-10-2000	
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	B78	6,395,736		Parks et al.	05-28-2002	
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	B81	WO	88/07035	A1	KANEGAFUCHI KAGAKU KOGYO KABUSHIKI KAISHA	09-22-1988		
	B82	WO	91/13055	A2	FARMITALIA CARLO ERBA SRL	09-05-1991		
	B83	WO	91/15495	A1	PFIZER INC.	10-17-1991		
	B84	WO	92/03736	A1	SEIKAGAKU KOGYO KABUSHIKI KAISHA	03-05-1992		
	B85	WO	92/07830	A2	PFIZER INC.	05-14-1992		
	B86	WO	92/20642	A1	RHONEPOULENC RORER INTERNATIONAL	11-26-1992		
	B87	WO	92/21660	A1	PFIZER INC.	12-10-1992		

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Prior Application Number	10/076,140
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Group Art Unit	Unassigned
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	B88	WO	93/01182	A1	FARMITALIA CARLO ERA SRL	01-21-1993		
	B89	WO	93/23040	A1	MERCK & CO., INC.	11-25-1993		
	B90	WO	94/03427	A1	WARNER-LAMBERT COMPANY	02-17-1994		
	B91	WO	94/10202	A1	GENENTECH, INC.	05-11-1994		
	B92	WO	94/14808	A1	FARMITALIA CARLO ERBA SRL	07-07-1994		
	B93	WO	95/01349	A1	FARMITALIA CARLO ERBA SRL	01-12-1995		
	B94	WO	95/14667	A1	PFIZER INC.	06-01-1995		
	B95	WO	95/17181	A1	PHARMACIA S.P.A.	06-29-1995		
	B96	WO	95/24190	A2	SUGEN, INC.	09-14-1995		
	B97	WO	96/00226	A1	PHARMACIA S.P.A.	01-04-1996		
	B98	WO	96/16964	A1	PHARMACIA S.P.A.	06-06-1996		
	B99	WO	96/22976	A1	PHARMACIA S.P.A.	08-01-1996		
	B100	WO	96/32380	A1	PHARMACIA S.P.A.	10-17-1996		
	B101	WO	96/40116	A1	SUGEN, INC.	12-19-1996		
	B102	WO	97/25986	A1	TAIHO PHARMACEUTICAL CO., LTD.	07-24-1997		
	B103	WO	97/34920	A1	SUGEN, INC.	09-25-1997		
	B104	WO	97/36867	A1	PFIZER, INC.	10-09-1997		
	B105	WO	98/07695	A1	SUGEN, INC.	02-26-1998		

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	B106	WO	98/07835	A2	SUGEN, INC.	02-26-1998		
	B107	WO	98/24432	A2	SUGEN, INC.	06-11-1998		
	B108	WO	98/38984	A2	SUGEN, INC.	09-11-1998		
	B109	WO	98/45708	A1	SUGEN, INC.	10-15-1998		
	B110	WO	98/50356	A1	SUGEN, INC.	11-12-1998		
	B111	WO	98/56376	A1	SUGEN, INC.	12-17-1998		
	B112	WO	99/10325	A1	GLAXO GROUP LIMITED	03-04-1999		
	B113	WO	99/19325	A1	SYNTHELABO	04-22-1999		
	B114	WO	99/48868	A2	SUGEN, INC.	09-30-1999		
	B115	WO	99/52869	A1	BOEHRINGER INGELHEIM PHARMA KG	10-21-1999		
	B116	WO	99/65869	A1	BAYER AKTIENGESELLSCHAFT	12-23-1999		
	B117	WO	99/61422	A1	SUGEN, INC.	12-02-1999		
	B118	WO	00/35920	A2	F. HOFFMANN-LA ROCHE AG	06-22-2000		
	B119	WO	00/38519	A1	SUGEN, INC.	07-06-2000		
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	B121	WO	00/56709	A1	SUGEN, INC.	09-28-2000		
	B122	WO	01/60814	A2	SUGEN, INC.	08-23-2001		
	B123	WO	01/90068	A2	SUGEN, INC. et al.	11-29-2001		
	B124	DE	878,539		Von FREYBERG, et al.	06-05-1953		X

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	B125	DE	2,159,360	A	BAYER AG	06-14-1973		X
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	B129	DE	2,321,656	A	COLGATE-PALMOLIVE CO.	11-15-1973		X
	B130	DE	3,426,419	A	BOEHRINGER MANNHEIM GMBH	01-23-1986		X
	B131	EP	0 252 713	B1	PFIZER INC.	01-13-1988		
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	B134	EP	0 525 472	A2	FARMITALIA CARLO ERBA SRL	02-03-1993		
	B135	EP	0 566 226	B1	ZENECA LIMITED	10-20-1993		
	B136	EP	0 580 502	B1	ADIR ET COMPAGNIE	01-26-1994		X
	B137	EP	0 626 377	B1	SHIONOGI & CO., LTD.	11-30-1994		
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Sheet 9 of 30

Complete if Known	
Prior Application Number	10/076,140
Prior Appl. Filing Date	February 15, 2002
First Named Inventor	Huiping GUAN et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	034536-0188

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Examiner Initials*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
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				First Named Inventor	Huiping GUAN et al.
(use as many sheets as necessary)				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	14	of	30	Attorney Docket Number	034536-0188

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Sheet 18 of 30

Complete if Known	
Prior Application Number	10/076,140
Prior Appl. Filing Date	February 15, 2002
First Named Inventor	Huiping GUAN et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	034536-0188

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Sheet 19 of 30

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Sheet 21 of 30

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Prior Appl. Filing Date	February 15, 2002
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Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	034536-0188

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	B263	MARTIN-LEON et al., "On the Cyclization to the Elusive Amino-4H-pyran Ring Some New Facts," Liebigs Ann. Chem. 101-104 (1990) copyright VCH Veilaxs of Sellschaft mbH 8VCH	
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Sheet 22 of 30

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Prior Appl. Filing Date	February 15, 2002
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Group Art Unit	Unassigned
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Attorney Docket Number	034536-0188

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	B272	NISHIMURA et al., "Two Signaling Molecules Share a Phosphotyrosine-Containing Binding Site in the Platelet-Derived Growth Factor Receptor," Molecular and Cellular Biology 13:6889-6896 (1993)	
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Date Submitted:				**Prior Appl. Filing Date**	February 15, 2002
				First Named Inventor	Huiping GUAN et al.
(use as many sheets as necessary)				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	23	of	30	Attorney Docket Number	034536-0188

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Sheet 24 of 30

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Prior Appl. Filing Date	February 15, 2002
First Named Inventor	Huiping GUAN et al.
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 26 of 30

Complete if Known	
Prior Application Number	10/076,140
Prior Appl. Filing Date	February 15, 2002
First Named Inventor	Huiping GUAN et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	034536-0188

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[6]
	B303	SOLDI et al., "Platelet-Activating Factor (PAF) Induces the Early Tyrosine Phosphorylation of Focal Adhesion Kinase ($p125^{FAK}$) in Human Endothelial Cells," Oncogene 13:515-525 (1996) copyright Stockton Press	
	B304	SONGYANG et al., "SH2 Domains Recognize Specific Phosphopeptide Sequences," Cell 72:767-778 (1993) 8 Cell Press	
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Sheet 27 of 30

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	B319	THIO et al., "The Interconversion of 2-(2-Aminophenyl)-3-piperolidinone and 3-(2-piperidylmethyl)-2-indolinone: A Reversible N = N' Transacylation," Notes (1971) 479-482	
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	B328	VARMA and GUPTA, "Nucleophilic Reactions of 2-Methyl-3-(4'-carbomethoxyphenyl)-4-quinazolinones with 2-Indolinones," J. Indian Chem. Soc. 66:804-805 (1989) & The Indian Chemical Society	
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	B335	WALKER et al., "Synthesis of New 3-(Pyridylmethylene)-, 3-(Pyridylmethyl)-, 3-(Piperidylmethyl)-, and 3-(β-Alkylaminoethyl)-2-indolinones. The Reduction of Isoindogenides, Nitro Compounds, and Pyridines in a Series of 2-Indolinones," J. Med. Chem. 8:626-637 (1965)	
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